

CHAPTER 4

GRADUATE DEGREES

Overview

Trends in attainment of master's and doctoral degrees follow the same pattern seen with bachelor's degrees and graduate enrollment: That is, both the numbers and percentages of women and minorities earning master's degrees and doctorates in science and engineering have increased over time. Detailed data on science and engineering graduate degrees earned by women are available from 1966 to 1996 for master's degrees and from 1966 to 1997 for doctorates. For racial/ethnic groups, comparable annual data are only available as far back as 1989 for master's degrees and 1975 for doctorates.¹

Master's degrees

The number of master's degrees awarded in science and engineering between 1966 and 1996 more than doubled, increasing from 41,049 to 95,313, but account for a lower percentage of all master's degrees. (See appendix table 4-1.) Science and engineering degrees accounted for 23 percent of all master's degrees awarded in 1996, compared with 29 percent in 1966.

Women

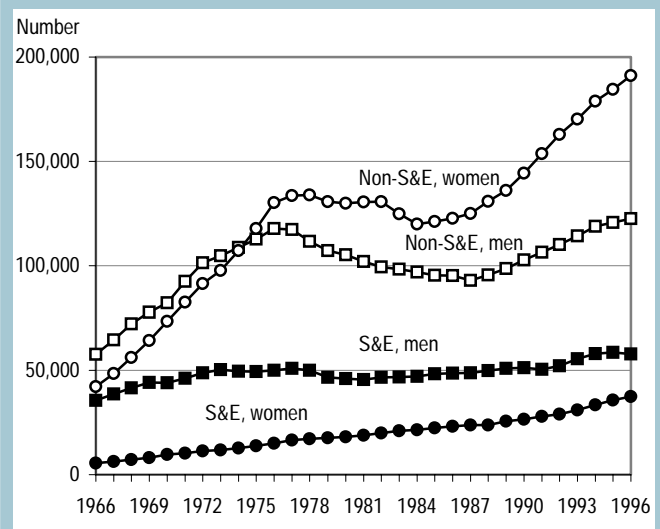
In science and engineering fields, both the number of women earning master's degrees and their proportion of the total have risen steadily over the past 30 years. In 1966, women earned 5,469 or 13 percent of the science and engineering master's degrees awarded. (See appendix

table 4-2.) By 1996, they earned 37,453, or 39 percent. In contrast, the numbers of master's degrees earned by men in science and engineering remained relatively constant from the early 1970s through the early 1990s at around 50,000 degrees per year; this figure has risen only slightly since then. (See figure 4-1.)

Women earn a smaller percentage of master's degrees than of bachelor's degrees awarded in science and engineering. In 1996, when women earned 39 percent of the master's degrees awarded in science and engineering, they also received 47 percent of the bachelor's degrees in science and engineering. (See appendix tables 2-6 and 4-3.) By contrast, in non-science and -engineering fields,

Figure 4-1.

Master's degrees awarded in science and engineering (S&E) fields and in non-S&E fields, by sex: 1966-96



SOURCE: Tabulations by National Science Foundation/Division of Science Resources Studies; data from U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey.

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¹Data on the race/ethnicity of bachelor's and master's degree recipients were collected biennially by the National Center for Education Statistics from 1977 to 1989 and annually thereafter. The data were collected only at the broad field level until 1995. Because of changes in field taxonomy in 1985, data on bachelor's and master's degrees by race/ethnicity and field from 1985 on are not comparable to earlier data. Data on the race/ethnicity of doctoral degree recipients were first collected in the Survey of Earned Doctorates in 1973. Data for 1973 and 1974 are excluded from this report because they included a large number of doctorate recipients of "unknown" race/ethnicity.

women earn about the same percentage of master's degrees as they do of bachelor's degrees: Women received 59 percent of the bachelor's degrees and 61 percent of the master's degrees awarded in non-science and -engineering fields in 1996. (See appendix tables 2-6 and 4-3.)

By field, women earned the highest percentage of science and engineering master's degrees in psychology (72 percent), the social sciences (50 percent), and the biological/agricultural sciences (49 percent); they received their lowest percentage of master's degrees in engineering (17 percent). (See appendix table 4-3.) Although the number and percentage of master's degrees awarded to women in many of these fields have been increasing, this is not the case in the fields of mathematics and computer science, where their share of total master's awards has changed little since the late 1980s.

A dissimilarity index was constructed to measure the amount of similarity or dissimilarity in the distributions of men and women by master's degree field.² An examination of trends in the dissimilarity index shows that the master's degree field distributions of men and women have become more similar over the past 30 years. In 1966, the dissimilarity index was 17.7, indicating that 17.7 percent of women would have to switch their master's degree field to match the distribution of fields of male master's degree recipients. (See appendix table 4-2.) By 1996, the dissimilarity index was 7.8. (See figure 4-2.)

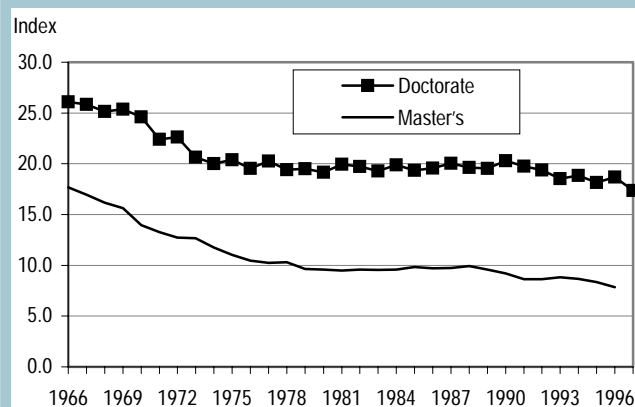
Minorities (U.S. citizens and permanent residents only)

In 1996, 360,682 master's degrees were awarded to U.S. citizens and permanent residents, 68,151—19 percent—of them in science and engineering. (See appendix tables 4-4 and 4-5.) More than one-third of all master's degrees awarded to Asians were in science and engineering in 1996. In contrast, 14 percent of all master's degrees awarded to blacks and 18 percent of all master's degrees awarded to whites, Hispanics, and American Indians were in science and engineering. (See text table 4-1.)

² The dissimilarity index is a measure of the percentage of women master's degree recipients who would need to switch fields of study to match the field distribution of men students receiving master's degrees. The index is calculated as the sum of the absolute difference between the percentages of male and female degree recipients in each field divided by 2. The fields used in the calculation are engineering; physical sciences; earth, atmospheric, and ocean sciences; mathematics; computer science; biological/agricultural sciences; psychology; social sciences; and non-science and -engineering.

Figure 4-2.

Index of dissimilarity of field of master's and doctoral degrees in science and engineering, by sex: 1966–97



NOTE: The dissimilarity index is a measure of the percentage of female degree recipients who would need to switch fields of study to match the percentage distribution of male degree recipients. The index is calculated as the sum of the absolute difference between the percentage of female degree recipients earning degrees in each field and the percentage of male degree recipients earning degrees in each field divided by 2.

SOURCES: Tabulations by National Science Foundation/Division of Science Resources Studies; data on master's degrees from U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey. Data on doctorate degrees from National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

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A dissimilarity index was constructed to measure the amount of similarity or dissimilarity in the distributions of racial/ethnic groups by master's degree field.³ The index is highest for Asians—18.8 percent of Asians would have to switch their master's degree field to match the field distribution of their white counterparts. (See appendix table 4-4.) The index is lowest for Hispanics—1.5 percent would have to switch their master's degree field to match

³ This dissimilarity index is a measure of the percentage of master's degree recipients in a racial/ethnic group who would need to switch fields of study to match the field distribution of white students receiving master's degrees. The index is calculated as the sum of the absolute difference between the percentage of master's degree recipients in a particular racial/ethnic group earning master's degrees in each field and the percentage of their white counterparts earning master's degrees in each field divided by 2. The fields used in the calculation are engineering; physical sciences; earth, atmospheric, and ocean sciences; mathematics; computer science; biological/agricultural sciences; psychology; social sciences; and non-science and -engineering.

Text table 4-1.

Percentage of all earned master's degrees that are in science and engineering, by citizenship and race/ethnicity of recipient: 1989 and 1996

Citizenship and race/ethnicity	1989	1996
Total, all recipients.....	22.6	21.7
U.S. citizens and permanent residents ¹	19.8	18.9
White, non-Hispanic.....	19.1	18.3
Asian/Pacific Islander.....	40.3	34.4
Black, non-Hispanic.....	12.3	14.3
Hispanic.....	19.5	17.7
American Indian/Alaskan Native.....	19.3	18.0
Unknown race/ethnicity.....	23.5	20.3
Nonresident aliens ²	47.1	42.7

¹ Racial/ethnic categories are as designated on the survey form. These categories include U.S. citizens and foreign citizens on permanent visas (i.e., resident aliens who have been admitted for permanent residency).

² Nonresident aliens include foreign citizens on temporary visas only. No racial/ethnic data are collected for this group.

NOTE: Data on race/ethnicity of degree recipients were collected on broad fields of study only until 1994; therefore, these trend data could not be adjusted to the exact field taxonomies used by NSF.

SOURCE: Tabulations by National Science Foundation/Division of Science Resources Studies; data from U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey.

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the field distribution of white master's degree recipients. The dissimilarity index was 2.9 for American Indian and 4.3 for black master's degree recipients.

The number of master's degrees in science and engineering awarded to all racial/ethnic groups increased in the 1990s. These gains occurred in degrees earned by both men and women. The percentages of master's degrees earned by Asians, blacks, and Hispanics increased from 1989 to 1996. (See figure 4-3.)

Asians

Asians earned 5,942 master's degrees in science and engineering in 1996, up from 4,100 in 1989. By 1996, Asians accounted for 9 percent of all science and engineering master's degrees awarded, up from 7 percent in 1989. (See appendix table 4-6.) In contrast, they earned 4 percent of the master's degrees awarded in 1996 in non-science and -engineering fields.

Asians earned an increasing percentage of the master's degrees in each major science and engineering field during this time period. In 1996—as has been the case since

1989—the two fields in which Asians earned the largest proportions of science and engineering master's degrees were computer science and engineering.

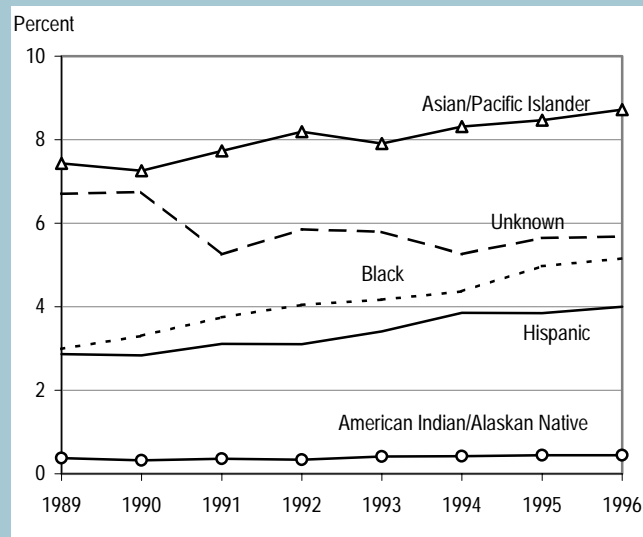
Blacks

Blacks earned 3,518 science and engineering master's degrees in 1996 (5 percent of the total), up from 1,652 (3 percent) in 1989. (See appendix tables 4-4 and 4-6.) They earned 7 percent of the master's degrees in non-science and -engineering fields.

The percentage of master's degrees earned by blacks in each of the major science and engineering fields increased between 1989 and 1996, with the numbers of master's degrees earned by blacks in some fields more than doubling over the 7-year period. Thus, in mathematics, master's degrees awarded to blacks rose from 59 to 151; in the agricultural sciences, from 36 to 88; in the social sciences, from 407 to 965; and in psychology, from 395 to 947. Two fields—the social sciences and psychology—accounted for 54 percent of the science and engineering master's degrees earned by blacks. In comparison,

Figure 4-3.

Percentage of master's degrees in science and engineering earned by minority groups, by race/ethnicity of U.S. citizens and permanent residents: 1989–96



SOURCE: Tabulations by National Science Foundation/Division of Science Resources Studies; data from U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System Completions Survey.

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40 percent of the science and engineering master's degrees earned by all U.S. citizens and permanent residents were in these fields.

Hispanics

Trends in master's degrees earned by Hispanics were similar to those for blacks. Hispanics earned 2,730 science and engineering master's degrees in 1996, 4 percent of the total earned by all U.S. citizens and permanent residents. (See appendix tables 4-4 and 4-6.) This was an increase from the 1,585 master's degrees (3 percent) earned by Hispanics in 1989. Hispanics earned 4 percent of the master's degrees awarded in non-science and -engineering fields in 1996.

The percentage of master's degrees earned by Hispanics in each of the major science and engineering fields increased between 1989 and 1996. As with blacks, the numbers of master's degrees earned by Hispanics more than doubled in some fields over the 7-year period. In mathematics, the number of master's degrees earned by Hispanics rose from 34 in 1989 to 91 in 1996; in psychology, the increase was from 360 to 709.

American Indians

American Indians earned 304 master's degrees in science and engineering in 1996; this figure was up from 209 in 1989. (See appendix tables 4-4 and 4-6.) The overall percentage of science and engineering master's degrees earned by American Indians was unchanged, however, remaining at 0.4 percent. American Indians earned 0.5 percent of non-science and -engineering master's degrees in 1996.

The largest numbers of science and engineering degrees earned by American Indians were in the social sciences (97) and psychology (80). More than half (58 percent) of the science and engineering master's degrees earned by American Indians in 1996 were in these two fields, compared with 40 percent of the science and engineering master's degrees earned by all U.S. citizens and permanent residents.

Minority women (U.S. citizens and permanent residents only)

The numbers of master's degrees in science and engineering awarded to women and to men in each racial/ethnic group increased during the 1989–96 period. (See appendix tables 4-7 and 4-8.) The increases during this time occurred in most major science and engineering fields, with the exceptions of computer science and the

physical sciences. The numbers of master's degrees in computer science dropped for white men and women and for American Indian men, stayed the same for American Indian women, and increased for all other groups. The numbers of master's degrees in the physical sciences rose for women of all racial/ethnic groups, but dropped for all men except for blacks and Hispanics.

Women earned 41 percent of the master's degrees awarded in science and engineering to U.S. citizens and permanent residents in 1996. Blacks were the only racial/ethnic group in which women earned more than half of the master's degrees in science and engineering. Black women earned 56 percent of the master's degrees in science and engineering to blacks in 1996. (See figure 4-4.)

Persons with disabilities

Data on master's degree awards to persons with disabilities are not collected by the Federal Government. The National Science Foundation does not collect data on master's degrees; the National Center for Education Statistics does collect data on the number of master's degrees but not by measures of disability status. As noted in the previous chapter, data on disabilities are frequently not included in comprehensive institutional records. Therefore, enrollment and degree data collected from colleges and universities are not reported by disability status.

Doctorates

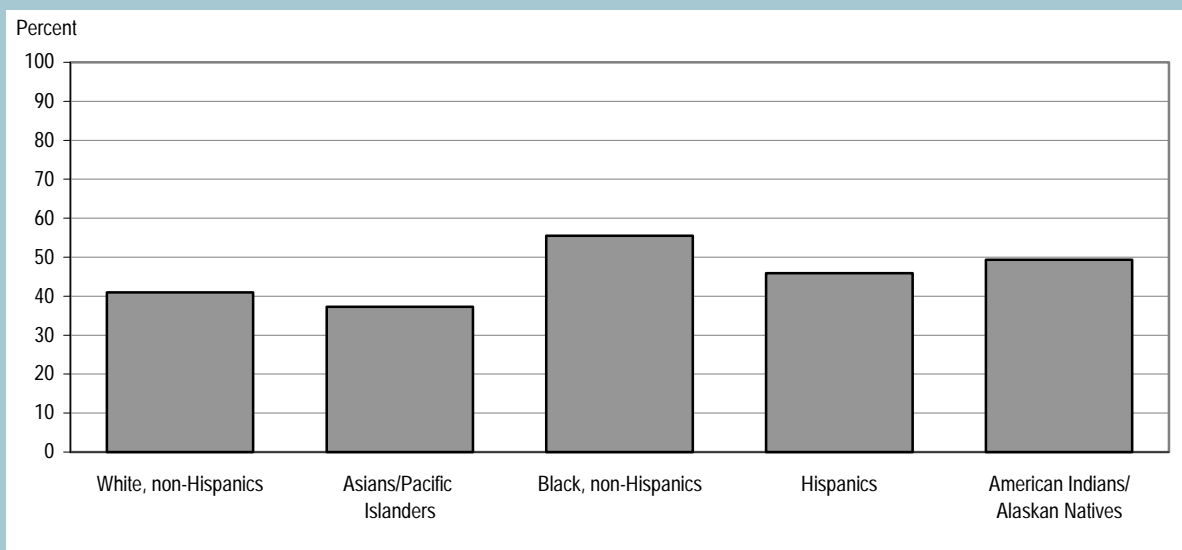
Awards in science and engineering accounted for 63 percent of all doctoral degrees in 1997. The number of doctoral degrees in science and engineering more than doubled between 1966 and 1997, rising from 11,570 to 26,847. (See appendix table 4-9.) Science and engineering doctorates rose sharply in the 1960s, stabilized in the 1970s, and rose again in the 1980s and early 1990s. In 1997, the number of science and engineering doctorates dropped for the first time since 1980.

Women

In science and engineering fields, both the number of women earning doctoral degrees and their percentage of the total have risen steadily over the years. In 1966, women earned 924 or 8 percent of all science and engineering doctoral degrees awarded. (See appendix tables 4-10 and 4-11.) By 1997, they earned 8,796, or 33 percent. Men, on the other hand, accounted for all of the decline in the number of doctorates in the 1970s and for the decrease between 1996 and 1997. (See figure 4-5.)

Figure 4-4.

Percentage of master's degrees in science and engineering earned by women, by race/ethnicity of U.S. citizens and permanent residents: 1996

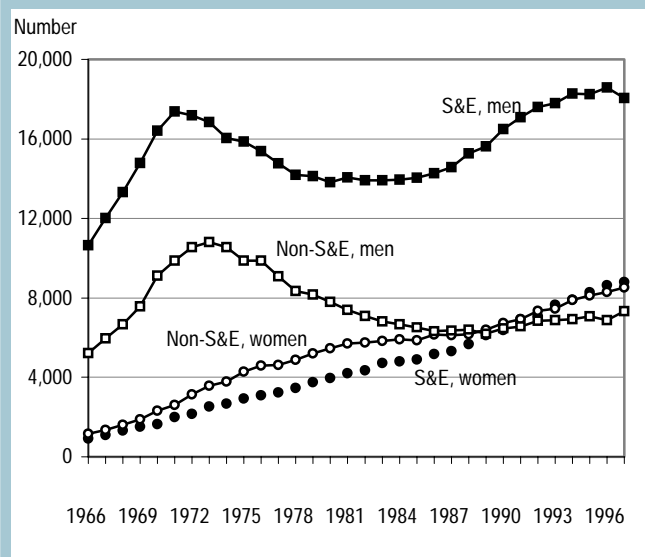


SOURCE: Tabulations by National Science Foundation/Division of Science Resources Studies; data from U.S. Department of Education, National Center for Education Statistics, Integrated Postsecondary Education Data System, Completions Survey.

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Figure 4-5.

Doctoral degrees awarded in science and engineering (S&E) fields and in non-S&E fields, by sex: 1966-97



SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

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Women earn a smaller percentage of the doctoral degrees in science and engineering than they do of the doctoral degrees in other fields. In 1997, women earned 8,526 or 54 percent of the doctorates awarded in non-science and -engineering fields. (See appendix tables 4-10 and 4-11.)

By broad science and engineering field, women earned the highest percentage of doctoral degrees in psychology (67 percent), the biological/agricultural sciences (41 percent), and the social sciences (39 percent); they earned the lowest percentage of their doctoral degrees in engineering (12 percent) in 1997.⁴ (See appendix table 4-11.)

Reductions in the doctorate field dissimilarity index over time indicate that the field distributions of male and female doctorate recipients are becoming more similar.⁵ In 1966, the dissimilarity index was 26.1, indicating that 26.1 percent of women would have to switch their Ph.D. field to match the field distribution for male Ph.D. recipients. By 1996, the dissimilarity index was 17.4. (See appendix table 4-10 and figure 4-2.)

⁴ See <http://www.nsf.gov/sbe/srs/sengdr/start.htm> for data on doctoral degrees by finer field and for the most recent data on doctoral degrees.

Baccalaureate-origin Institutions for Female Recipients of Science and Engineering Doctorates

About two-thirds of all science and engineering doctorate recipients earned their baccalaureates at research universities (NSF 1996). Women, though, were less likely than men to have earned their baccalaureate degrees at research universities and more likely to have earned them at baccalaureate colleges. Notably, 5 of the top 50 baccalaureate-origin institutions for women were liberal arts colleges; 4 of the 5 were women's colleges. (See text table 4-2.)

Minorities (U.S. citizens and permanent residents only)

More than half (59 percent) of all doctorates awarded to U.S. citizens and permanent residents were earned in science and engineering fields in 1997. (See appendix table 4-12.) For two groups—blacks and American Indians—more than half of the doctorates earned in 1997 were in non-science and -engineering fields, primarily education. Specifically, 37 percent of the doctorates earned by blacks and 32 percent of those earned by American Indians were in education, compared with 18 percent earned by all U.S. citizens and permanent residents. (See figure 4-6.) In contrast, 80 percent of the doctorates earned by Asians were in science and engineering, and only 6 percent were in education in 1997.

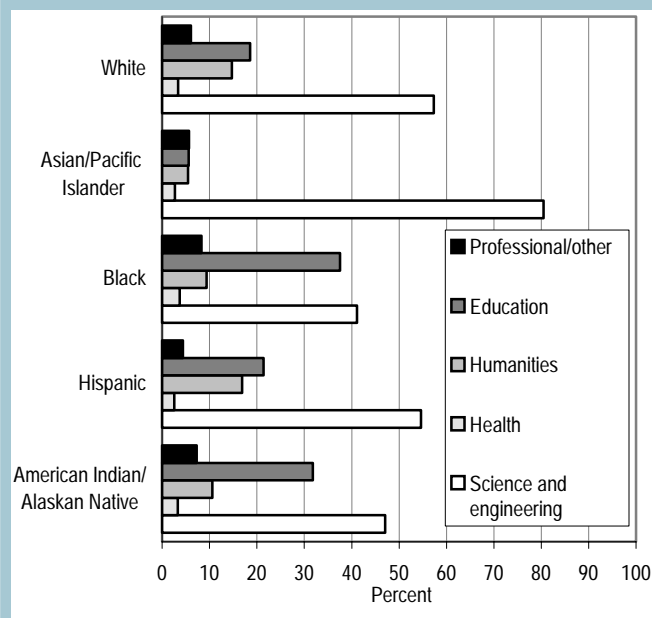
A dissimilarity index was constructed to measure the amount of similarity or dissimilarity in the distributions of racial/ethnic groups by field of doctorate.⁶ The dissimilarity

⁵The dissimilarity index is a measure of the percentage of female doctorate recipients who would need to switch fields of study to match the field distribution of male doctorate recipients. The index is calculated as the sum of the absolute difference between the percentage of women doctorate recipients earning degrees in each field and the percentage of men doctorate recipients earning degrees in each field divided by 2. The fields used in the calculation are engineering; physical sciences; earth, atmospheric, and ocean sciences; mathematics; computer science; biological/agricultural sciences; psychology; social sciences; and non-science and -engineering.

⁶The dissimilarity index is a measure of the percentage of doctorate recipients in a racial/ethnic group who would need to switch fields of study to match the field distribution of white recipients of doctoral degrees. The index is calculated as the sum of the absolute difference between the percentage of degree recipients in a particular racial/ethnic group earning doctorates in each field and the percentage of white doctoral recipients earning degrees in each field divided by 2. The fields used in the calculation are engineering; physical sciences; earth, atmospheric, and ocean sciences; mathematics; computer science; biological/agricultural sciences; psychology; social sciences; and non-science and -engineering.

Figure 4-6.

Percentage of doctoral degrees awarded in science and engineering (S&E) and in non-S&E fields, by race/ethnicity: 1997



SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

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index is highest for Asians: 31.3 percent of Asians would have to switch their doctoral field to match the distribution of white Ph.D. recipients. The index is lowest for Hispanics, 7.1 percent of whom would have to switch their field of doctorate to match the distribution for white Ph.D. recipients. The dissimilarity index was 17.4 for black and 13.7 for American Indian Ph.D. recipients. The indices were smaller in 1997 than in 1975 for all nonwhite racial/ethnic groups.

U.S. citizens and permanent residents earned 18,005 doctorates in science and engineering in 1997. Of these, 76 percent were earned by whites, 14 percent by Asians, 4 percent by Hispanics, 3 percent by blacks, and 0.4 percent by American Indians.⁷ (See appendix table 4-13.) The number of doctoral degrees in science and engineering awarded to Asians, blacks, Hispanics, and American Indians rose between 1975 and 1997. (See appendix table 4-12 and figure 4-7.) For blacks, much of this growth

⁷An additional 3 percent were earned by people of unknown race/ethnicity.

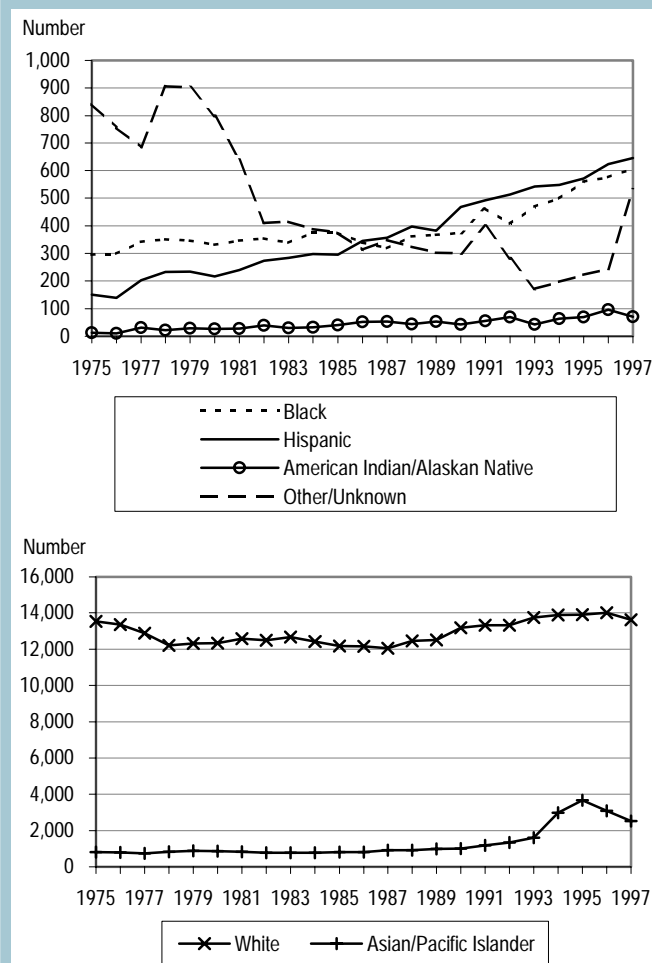
Text table 4-2.

Top 50 institutions that were baccalaureate origins of men and women 1997 science and engineering doctorate recipients

Rank	Men		Women	
	Academic institutions	Number of doctorates	Academic institutions	Number of doctorates
	Total of all academic institutions	18,051	Total of all academic institutions	8,796
1	University of California-Berkeley	217	University of California-Berkeley	109
2	University of Illinois at Urbana-Champaign	168	University of Michigan at Ann Arbor	88
3	Massachusetts Institute of Technology	143	University of Illinois at Urbana-Champaign	63
4	Pennsylvania State University, Main Campus	138	University of California-Los Angeles	60
5	University of Wisconsin-Madison	119	Harvard University	59
6	University of Michigan at Ann Arbor	112	University of Wisconsin-Madison	59
7	University of Texas at Austin	106	University of California-Davis	58
8	University of California-Davis	93	Massachusetts Institute of Technology	57
9	Brigham Young University, Main Campus	93	University of Minnesota-Twin Cities	56
10	Purdue University, Main Campus	92	University of Texas at Austin	54
11	Stanford University	91	Duke University	53
12	University of California-Los Angeles	89	Pennsylvania State University, Main Campus	53
13	Harvard University	89	Stanford University	51
14	Princeton University	86	Brown University	50
15	Virginia Polytechnic Institute and State University	86	Yale University	49
16	University of Minnesota-Twin Cities	84	University of North Carolina at Chapel Hill	46
17	Ohio State University, Main Campus	84	University of Virginia, Main Campus	46
18	Texas A&M University Main Campus	84	University of Pennsylvania	45
19	University of Washington-Seattle	79	Rutgers the State University of New Jersey New Brunswick	45
20	California Institute of Technology	74	Texas A&M University Main Campus	45
21	University of California-San Diego	73	Ohio State University, Main Campus	43
22	University of Florida	73	Michigan State University	42
23	University of Colorado at Boulder	71	University of California-Irvine	40
24	Michigan State University	71	University of Chicago	39
25	Rutgers, the State University of New Jersey, New Brunswick	68	University of California-Santa Cruz	38
26	University of Arizona	66	Northwestern University	38
27	University of Chicago	61	Purdue University, Main Campus	37
28	Iowa State University	61	University of Florida	36
29	University of Virginia, Main Campus	61	University of Washington-Seattle	36
30	Georgia Institute of Technology, Main Campus	60	Wellesley College	34
31	University of Maryland at College Park	60	Princeton University	34
32	Rensselaer Polytechnic Institute	60	University of California-San Diego	32
33	University of Massachusetts at Amherst	59	University of Colorado at Boulder	32
34	State University of New York at Buffalo	57	University of Iowa	32
35	Duke University	57	Indiana University at Bloomington	30
36	University of Pennsylvania	57	Smith College	30
37	North Carolina State University at Raleigh	55	Bryn Mawr College	30
38	Brown University	55	University of Arizona	27
39	Yale University	53	University of Massachusetts at Amherst	27
40	University of Notre Dame	51	Miami University, All Campuses	27
41	University of California-Santa Barbara	50	Virginia Polytechnic Institute and State University	26
42	University of California-Irvine	47	Johns Hopkins University	25
43	Northwestern University	47	University of California-Santa Barbara	24
44	Washington University	46	Emory University	24
45	Carnegie Mellon University	46	Iowa State University	24
46	University of California-Santa Cruz	45	New York University	24
47	University of Rochester	45	University of Puerto Rico Mayaguez Campus	24
48	Johns Hopkins University	44	Mount Holyoke College	23
49	University of Tennessee at Knoxville	44	State University of New York at Buffalo	23
50	Indiana University at Bloomington	43	Swarthmore College	23
			College of William and Mary	23

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Figure 4-7.

Doctoral degrees in science and engineering, by race/ethnicity of U.S. citizens and permanent residents: 1975-97

NOTE: The higher numbers of Asians in the late 1990s is partly a result of the Chinese Student Protection Act of 1992 which made thousands of Chinese in the United States eligible to apply for permanent residency.

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

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occurred in the 1990s. The number of doctoral degrees in science and engineering awarded to whites fluctuated between 12,000 and 14,000 from 1975 through 1997.

Asians

Asians earned 14 percent of the science and engineering doctorates awarded to U.S. citizens and permanent residents in 1997; this was up from 5 percent in 1975. (See appendix table 4-12.) Asians earned, in contrast, only 5 percent of the doctorates awarded that year in non-

science and -engineering fields. The number of doctoral degrees in science and engineering earned by U.S. citizen or permanent resident Asians spiked in 1994 and 1995 as a result of changes in immigration policy. (See sidebar on following page.) Although the numbers of these doctorate-holders dropped in 1996 and 1997, they were still well above the 1993 total.

Asians constituted about one-fifth of engineering and computer science doctorate recipients in 1997 and about one-sixth of the doctorate recipients in the physical sciences, mathematics, and biological sciences. They receive relatively small percentages of the doctorates awarded in psychology (4 percent) and the social sciences (8 percent). (See appendix table 4-12.)

The Chinese Student Protection Act

The Chinese Student Protection Act of 1992 made thousands of students from the People's Republic of China who were enrolled in U.S. universities in 1989 at the time of the Tiananmen incident eligible to apply for permanent residency in 1993. The number of these students who had permanent visas at the time of science and engineering Ph.D. conferral rose from 162 (or 8 percent of all science and engineering students from China) in 1992 to 2,169 (79 percent) in 1995. The percentage holding permanent visas dropped in 1996 and 1997 as the number of students eligible for permanent residency under the act declined. (See text table 4-3.)

Text table 4-3.

Number and percentage of science and engineering doctorate recipients from the People's Republic of China, by type of visa: 1990-97

Year	Total		Permanent visas		Temporary visas	
	Number	Percent	Number	Percent	Number	Percent
1990.....	1,150	100.0	54	4.7	1,096	95.3
1991.....	1,793	100.0	100	5.6	1,693	94.4
1992.....	2,045	100.0	162	7.9	1,883	92.1
1993.....	2,227	100.0	352	15.8	1,875	84.2
1994.....	2,531	100.0	1,642	64.9	889	35.1
1995.....	2,752	100.0	2,169	78.8	583	21.2
1996 ¹	2,953	100.0	1,628	55.1	1,324	44.8
1997.....	2,223	100.0	949	42.7	1,274	57.3

¹ Total includes one person of unknown visa type.

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

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Blacks

The number of science and engineering doctorates awarded to blacks remained fairly constant from 1975 to 1990—approximately 300 to 375 degrees per year—but rose in the 1990s, reaching 607 in 1997. (See appendix table 4-12.) Blacks accounted for 3 percent of all science and engineering doctorate recipients in 1997, up from 2 percent in 1975. In contrast, they received 7 percent of the doctorates in non-science and -engineering fields in 1997.

Fewer than half of all doctoral degrees earned by blacks were in science and engineering. Blacks earned almost as many doctoral degrees in education (553) as they did in science and engineering in 1997. Half of the science and engineering doctorates earned by blacks in 1997 were in psychology and the social sciences, compared with 32 percent of those earned by all U.S. citizens and permanent residents. (See appendix table 4-13.)

Hispanics

Hispanics earned 151 of the science and engineering Ph.D.s awarded in 1975 and 645 of those awarded in 1997. (See appendix table 4-12.) They comprised 4 percent of the science and engineering doctorate recipients in 1997, up from just 1 percent in 1975. Hispanics also accounted for 4 percent of the doctorate recipients in non-science and -engineering fields in 1997.

About one-fourth (27 percent) of the science and engineering doctorates earned by Hispanics in 1997 were awarded to Puerto Ricans; another one-fourth (24 percent) were awarded to Mexican Americans. (See appendix table 4-13.)

Fifty-five percent of all doctorates earned by Hispanics in 1997 were in science and engineering fields. More than one-fourth (26 percent) of these were in psychology; in contrast, 17 percent of the science and engineering doctorates earned by all U.S. citizens and permanent residents were in this field.

American Indians

The number of science and engineering doctorates earned by American Indians increased from 13 in 1975

to 96 in 1996, but dropped to 71 in 1997. (See appendix table 4-12.) American Indians earned 0.4 percent of the science and engineering doctorates awarded to U.S. citizens and permanent residents in 1997, up from 0.1 percent in 1975. They earned 0.6 percent of non-science and -engineering doctorates in 1997.

Forty-six percent of the science and engineering doctorates earned by American Indians in 1997 were in psychology and the social sciences in 1997, compared with 32 percent of those earned by all U.S. citizens and permanent residents.

Minority women

The numbers of doctoral degrees in science and engineering awarded to women and men in almost every racial/ethnic group increased from 1975 to 1997, with the single exception of white men. (See appendix tables 4-14 and 4-15.) The numbers of doctorates granted to women in each racial/ethnic group more than doubled in this time period: from 2,347 to 5,180 for white women, 71 to 280 for black women, 108 to 896 for Asian women, 16 to 262 for Hispanic women, and 3 to 31 for American Indian women.

The proportions of doctoral degrees granted in science and engineering to women in each racial/ethnic group also increased from 1975 to 1997. (See text table 4-4.) Asian, black, Hispanic, and American Indian women accounted for less than 1 percent of U.S. citizen and permanent resident doctorate recipients in 1975. In 1997, in contrast, Asian women received 5 percent, black women 1.6 percent, Hispanic women 1.5 percent, and American Indian women 0.2 percent of science and engineering doctorate recipients.

In 1997, women earned fewer than half of the science and engineering doctorates in every racial/ethnic group. Specifically, women earned 46 percent of science and engineering doctorates among blacks and 44 percent, 41 percent, 38 percent, and 35 percent among American Indians, Hispanics, whites, and Asians, respectively. (See appendix tables 4-14 and 4-15.)

Text table 4-4.

Number and percentage of doctoral degrees in science and engineering, by sex and race/ethnicity of U.S. citizens and permanent residents: 1975 and 1997

Race/ethnicity	1975						1997					
	Both sexes		Women		Men		Both sexes		Women		Men	
	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent	Number	Percent
Total, U.S. citizens and permanent residents.....	15,641	100.0	2,651	16.9	12,990	83.1	18,005	100.0	6,814	37.8	11,191	62.2
White, non-Hispanic.....	13,526	86.5	2,347	15.0	11,179	71.5	13,623	75.7	5,180	28.8	8,443	46.9
Asian/Pacific Islander.....	814	5.2	108	0.7	706	4.5	2,527	14.0	896	5.0	1,631	9.1
Black, non-Hispanic.....	295	1.9	71	0.5	224	1.4	607	3.4	280	1.6	327	1.8
Hispanic.....	151	1.0	16	0.1	135	0.9	645	3.6	262	1.5	383	2.1
American Indian/Alaskan Native.....	13	0.1	3	0.0	10	0.1	71	0.4	31	0.2	40	0.2
Other/unknown race/ethnicity.....	842	5.4	106	0.7	736	4.7	532	3.0	165	0.9	367	2.0

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Baccalaureate-origin Institutions for Minority Recipients of Science and Engineering Doctorates

Even as they do in the undergraduate education of black students in science and engineering, Historically Black Colleges and Universities (HBCUs) play an important role in educating blacks who go on to earn doctorates in these fields. Twenty of the top 50 baccalaureate-origin institutions of 1993–97 black doctorate recipients were HBCUs. (See text table 4-5.)

The baccalaureate-origin institutions of Hispanic and American Indian doctorate recipients reflect to some degree the geographic concentration of their populations. Twenty-six of the top 50 baccalaureate-origin institutions of Hispanic doctorate recipients are in Puerto Rico, California, Florida, and Texas. Six of the 27 institutions that were baccalaureate-origin institutions of three or more American Indian doctorate recipients are in California and Oklahoma.

Research I universities also play a large role in the undergraduate education of minority science and engineering doctorate recipients. More than 20 of the top 50 baccalaureate-origin institutions for each racial/ethnic group were Research I universities. White and Asian science and engineering doctorate recipients, however, were the most likely to have earned bachelor's degrees in such universities—more than 40 of the top 50 baccalaureate-origin institutions were Research I institutions.

Persons with disabilities

The number of science and engineering doctorates earned by persons with disabilities was 318 in 1997, or about 1 percent of the total number of science and engineering doctoral degrees awarded. The percentage of science and engineering doctorate recipients with disabilities has not changed appreciably since 1989. (See appendix table 4-16.)

Higher proportions of doctorate recipients with disabilities than of those without disabilities earned their doctorates in psychology and the social sciences; lower proportions earned their doctorates in the physical sciences, biological sciences, and engineering. (See appendix table 4-17 and figure 4-8.)

Postgraduation plans and postdoctoral fellowships⁸

About two-thirds of U.S. citizen and permanent resident science and engineering doctorate recipients in 1997 had definite postgraduation plans at the time they received their doctorate, and 61 percent had definite plans to remain in the United States. Of these last, 36 percent planned to pursue postdoctoral study. (See appendix table 4-18.)

⁸ The data presented here apply to U.S. citizens and permanent residents only.

Text table 4-5.

Top 50 institutions that were baccalaureate origins of 1993–97 science and engineering doctorate recipients, by race/ethnicity

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Rank	Black, non-Hispanic		White, non-Hispanic		Hispanic	
	Academic institution	Number of doctorates	Academic institution	Number of doctorates	Academic institution	Number of doctorates
1	Howard University	101	University of California-Berkeley	1,080	University of Puerto Rico-Rio Piedras	223
2	Spelman College	44	University of Illinois at Urbana-Champaign	991	University of Puerto Rico-Mayaguez	115
3	Massachusetts Institute of Technology	27	University of Michigan at Ann Arbor	889	University of California-Berkeley	64
4	University of Maryland at College Park	26	University of Wisconsin-Madison	841	University of California-Los Angeles	57
5	Tuskegee University	24	Pennsylvania State University, Main Campus	804	University of Texas at Austin	46
6	Morehouse College	24	Cornell University, All Campuses	739	University of Miami	44
7	Wayne State University	24	Massachusetts Institute of Technology	719	University of Texas at El Paso	38
8	Hampton University	24	University of Texas at Austin	620	Massachusetts Institute of Technology	37
9	University of Michigan at Ann Arbor	24	University of Minnesota-Twin Cities	617	University of California-Irvine	31
10	Southern University A&M College at Baton Rouge	24	Harvard University	577	Cornell University, All Campuses	31
11	North Carolina Agricultural & Technical State University	23	Ohio State University, Main Campus	568	University of Florida	28
12	Michigan State University	22	Michigan State University	564	Stanford University	27
13	Alabama Agricultural and Mechanical University	21	University of California-Davis	557	University of New Mexico, All Campuses	26
14	University of California-Berkeley	21	Texas A&M University Main Campus	556	University of California-San Diego	25
15	North Carolina State University at Raleigh	21	University of California-Los Angeles	554	Texas A&M University, Main Campus	25
16	Lincoln University (Pennsylvania)	21	Purdue University, Main Campus	540	University of California-Davis	22
17	Northwestern University	19	Rutgers-New Brunswick	504	Florida International University	22
18	Harvard University	19	Brigham Young University, Main Campus	490	San Diego State University	21
19	Jackson State University	19	Virginia Polytechnic Institute and State University	472	New Mexico State University, All Campuses	21
20	Princeton University	19	Princeton University	469	University of Michigan at Ann Arbor	21
21	Florida Agricultural and Mechanical University	18	University of California-San Diego	467	Harvard University	19
22	Tougaloo College	18	University of Washington-Seattle	467	The Pontifical Catholic University of Puerto Rico	19
23	City University of New York City College	18	University of Colorado at Boulder	455	Rutgers-New Brunswick	19
24	Brown University	18	University of Pennsylvania	447	University of California-Santa Barbara	18
25	Fisk University	16	Stanford University	445	University of Maryland at College Park	18
26	North Carolina Central University	15	University of Florida	429	New York University	17
27	Tennessee State University	15	Yale University	427	Georgia Institute of Technology, Main Campus	15
28	Morgan State University	14	Iowa State University	421	University of Arizona	14
29	City University of New York Brooklyn College	14	University of Maryland at College Park	413	California State University-Northridge	14
30	University of North Carolina at Chapel Hill	14	Brown University	394	University of Illinois at Urbana-Champaign	14
31	University of South Carolina at Columbia	14	University of Virginia, Main Campus	388	University of Houston	14
32	University of the District of Columbia	14	Duke University	381	California State University-Los Angeles	13
33	University of California-Los Angeles	13	University of California-Santa Cruz	374	Fordham University	13
34	Yale University	13	University of Chicago	370	University of Pennsylvania	13
35	University of Florida	13	University of Massachusetts at Amherst	369	Inter American University of Puerto Rico-San German	13
36	Clark Atlanta University	13	University of California-Santa Barbara	365	California State University-Long Beach	12
37	State University of New York at Buffalo	13	State University of New York at Buffalo	353	University of Southern California	12
38	Cornell University, All Campuses	13	Indiana University at Bloomington	336	University of South Florida	12
39	City University of New York Hunter College	12	University of North Carolina at Chapel Hill	328	Louisiana State University	12
40	Columbia University in the City of New York	12	Rensselaer Polytechnic Institute	316	Princeton University	12
41	University of Alabama	11	North Carolina State University at Raleigh	316	Duke University	12
42	Grambling State University	11	University of Iowa	304	University of Texas-Pan American	12
43	Xavier University of Louisiana	11	University of Arizona	303	University of Colorado at Boulder	11
44	New York University	11	University of Delaware	300	Yale University	11
45	University of Pennsylvania	11	Rice University	293	University of Chicago	11
46	Ohio State University, Main Campus	11	University of California-Irvine	291	Michigan State University	11
47	Stanford University	10	University of Rochester	285	University of California-Riverside	10
48	Georgia State University	10	University of Notre Dame	284	Tulane University	10
49	University of Illinois at Urbana-Champaign	10	Northwestern University	274	Johns Hopkins University	10
50	Boston University	10	Washington University	272	Brown University	10

See explanatory information and SOURCES at end of table.

Text table 4-5.

Top 50 institutions that were baccalaureate origins of 1993–97 science and engineering doctorate recipients, by race/ethnicity

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Rank	Asian/Pacific Islander		American Indian/Alaskan Native	
	Academic institution	Number of doctorates	Academic institution	Number of doctorates
1	University of California-Berkeley	353	University of Oklahoma, Norman Campus	10
2	Massachusetts Institute of Technology	142	University of California-Berkeley	8
3	University of California-Los Angeles	132	Oklahoma State University, All Campuses	7
4	Harvard University	91	Auburn University, Main Campus	4
5	California Institute of Technology	80	University of Arkansas, Main Campus	4
6	Stanford University	75	University of Arizona	3
7	University of Hawaii at Manoa	72	University of California-Irvine	3
8	University of California-Davis	69	University of California-Santa Barbara	3
9	Cornell University, All Campuses	69	Colorado State University	3
10	University of Michigan at Ann Arbor	65	University of Colorado at Boulder	3
11	Princeton University	63	University of Delaware	3
12	University of Illinois at Urbana-Champaign	59	University of Illinois at Urbana-Champaign	3
13	University of California-Irvine	55	Iowa State University	3
14	University of Maryland at College Park	50	Tufts University	3
15	University of Washington-Seattle	48	Michigan State University	3
16	Johns Hopkins University	43	Southwest Missouri State University	3
17	University of California-San Diego	42	University of Missouri, Columbia	3
18	University of Texas at Austin	41	University of Montana	3
19	Yale University	39	University of North Carolina at Chapel Hill	3
20	University of Chicago	38	University of North Carolina at Charlotte	3
21	Northwestern University	37	University of Central Oklahoma	3
22	University of Pennsylvania	36	Northeastern State University	3
23	University of Southern California	34	University of Texas at Austin	3
24	Purdue University, Main Campus	32	Central Washington University	3
25	Columbia University in the City of New York	32	University of Wisconsin-Madison	3
26	Brown University	29	University of Wisconsin-Milwaukee	3
27	University of Minnesota-Twin Cities	29	University of Virginia, Main Campus	3
28	Rutgers-New Brunswick	29	California Institute of Technology	2
29	University of Wisconsin-Madison	28	California State University-Fullerton	2
30	State Univ. of New York at Stony Brook, All Campuses	28	San Diego State University	2
31	University of California-Santa Barbara	27	University of California-Davis	2
32	Pennsylvania State U, Main Campus	24	University of California-Los Angeles	2
33	Texas A&M University, Main Campus	24	University of California-San Diego	2
34	University of California-Santa Cruz	23	University of California-Santa Cruz	2
35	Carnegie Mellon University	23	Florida Atlantic University	2
36	California State University-Long Beach	22	Jacksonville University	2
37	Washington University	22	University of Florida	2
38	Harvey Mudd College	20	Emory University	2
39	University of Colorado at Boulder	19	Loyola University of Chicago	2
40	Rensselaer Polytechnic Institute	19	University of Kansas, Main Campus	2
41	State University of New York at Buffalo	19	McNeese State University	2
42	Georgia Institute of Technology, Main Campus	18	Boston University	2
43	University of Rochester	18	Harvard University	2
44	Case Western Reserve University	17	Massachusetts Institute of Technology	2
45	New York University	16	University of New Mexico, All Campuses	2
46	Duke University	16	State University of New York at Albany	2
47	Ohio State University, Main Campus	16	University of Tulsa	2
48	San Francisco State University	15	Shippensburg University of Pennsylvania	2
49	San Jose State University	15	Swarthmore College	2
50	Wellesley College	15	University of Pennsylvania	2

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Financial Support in Graduate School

Students typically rely on more than one means of financial support in graduate school. Among 1995 science and engineering doctorate recipients, 86 percent reported using two or more means of financial support in graduate school (NSF 2000). Men and women and the various racial/ethnic groups tended to rely on different combinations of these means of financial support.

Sex. Although the three most prevalent combinations of support for male and female science and engineering doctorate recipients (research assistantship plus teaching assistantship, research assistantship plus own funds, and research assistantship plus teaching assistantship plus own funds) were identical in 1995, own funds and research assistantships were the fourth and fifth most frequently reported modes for women, compared to research assistantships and teaching assistantships plus own funds for men. The top five support modes for women accounted for 31 percent of doctorate recipients; the men's top five accounted for 44 percent. (See text table 4-6.)

Differences in field of doctorate account for some, but not all, of these differences. In many fields (for example, the social sciences, psychology, computer and information sciences, physical sciences, biological sciences, and engineering), the combinations of means of financial support were similar. In some fields, however, the combinations differ. For example, in mathematics, the most frequent combination for men was a research assistantship plus a teaching assistantship, while for women it was a teaching assistantship and own funds. In the earth, atmospheric, and ocean sciences, women and men shared the same top four combinations, but the most frequent combination for women was research assistantship plus teaching assistantship plus own funds, while for men it was research assistantship plus own funds.

Race/ethnicity of U.S. citizens and permanent residents. Support patterns in graduate school vary considerably by race/ethnicity. (See text table 4-7.) For U.S. citizen and permanent resident white and underrepresented minority (that is, non-Hispanic black, Hispanic, and American Indian) doctorate recipients, research assistantship plus own funds was the most frequently used means of support in graduate school. For U.S. citizen and permanent resident Asians, the combination of research assistantship plus teaching assistantship was the most frequently used means of support. Each of the top five combinations of modes of support for underrepresented minorities involves using their own resources; no other group shows such extensive reliance on own funds in their top five combinations of support modes.

Field of study differences are related to differences in financial support. Asians were more likely than other groups to receive their doctorates in engineering, the biological sciences, and the physical sciences—fields in which reliance on research assistantships is prevalent. Underrepresented minorities were more likely than other groups to receive their doctorates in the social sciences and psychology—fields in which reliance on own funds is prevalent. But within each major field of study, a larger percentage of both underrepresented minorities and whites reported using their own funds and loans than did Asians. One factor in this disparity might be the Chinese Student Protection Act of 1992: A large proportion of the U.S. citizen and permanent resident Asians receiving science and engineering Ph.D.s in 1995 were likely Chinese who may have entered graduate school as temporary residents and were therefore ineligible for many Federal loan programs that require U.S. citizenship or permanent residency.

Text table 4-6.
Top five combinations of financial support of doctorate recipients, by sex: 1995

Sex and combinations of financial support	Number of doctorates	Percent of total
Women		
Research assistantship + teaching assistantship.....	617	7.2
Research assistantship + own funds.....	594	6.9
Research assistantship + teaching assistantship + own funds.....	571	6.7
Own funds.....	462	5.4
Research assistantship.....	436	5.1
Men		
Research assistantship + teaching assistantship.....	2,086	11.9
Research assistantship + own funds.....	1,944	11.1
Research assistantship + teaching assistantship + own funds.....	1,432	8.2
Research assistantship.....	1,331	7.6
Teaching assistantship + own funds.....	842	4.8

SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Women

Among all U.S. citizen and permanent resident science and engineering doctoral recipients in 1997 who had definite postgraduation plans, women were more likely than men to have plans for postdoctoral study (39 percent versus 34 percent) or for academic employment (23 percent versus 17 percent). On the other hand, they were less likely than men to have plans for employment in industry (14 percent versus 25 percent). These general findings vary somewhat by field, however. Thus, within some fields (physical sciences, biological sciences, agricultural sciences, psychology, social sciences) men's and women's postgraduation plans were similar. For example, within the physical sciences, 28 percent of both men and women planned industrial employment. Within other fields, differences remain. In mathematics, for example, 43 percent of women and 36 percent of men planned academic employment.

The number of postdoctoral fellows—of either sex—in science and engineering steadily increased from 1979 to 1997. (See appendix table 4-19.) During this period, the proportion of postdoctorates held by women rose from 19 percent to 29 percent. Women accounted for a smaller percentage of postdoctoral fellows than of doctorate recipients (33 percent) in 1997, particularly in mathematics and psychology. (See figure 4-9.)

Text table 4-7.
Top five combinations of financial support of doctorate recipients, by race/ethnicity: 1995

Race/ethnicity and combinations of financial support	Number of doctorates	Percent of total
White		
Research assistantship + own funds.....	1,057	7.5
Research assistantship + teaching assistantship + own funds.....	966	6.8
Research assistantship + teaching assistantship.....	860	6.1
Own funds.....	746	5.3
Research assistantship + teaching assistantship + own funds + loan.....	670	4.7
Asian/Pacific Islander		
Research assistantship + teaching assistantship.....	797	21.8
Research assistantship.....	605	16.5
Research assistantship + own funds.....	359	9.8
Research assistantship + teaching assistantship + own funds.....	300	8.2
Teaching assistantship + own funds.....	123	3.4
Underrepresented minority¹		
Research assistantship + own funds.....	64	5.2
Own funds + loan.....	62	5.0
Own funds + other.....	55	4.5
Own funds.....	48	3.9
Teaching assistantship + own funds.....	47	3.8

¹ "Underrepresented minority" includes non-Hispanic blacks, Hispanics, and American Indians/Alaskan Natives.

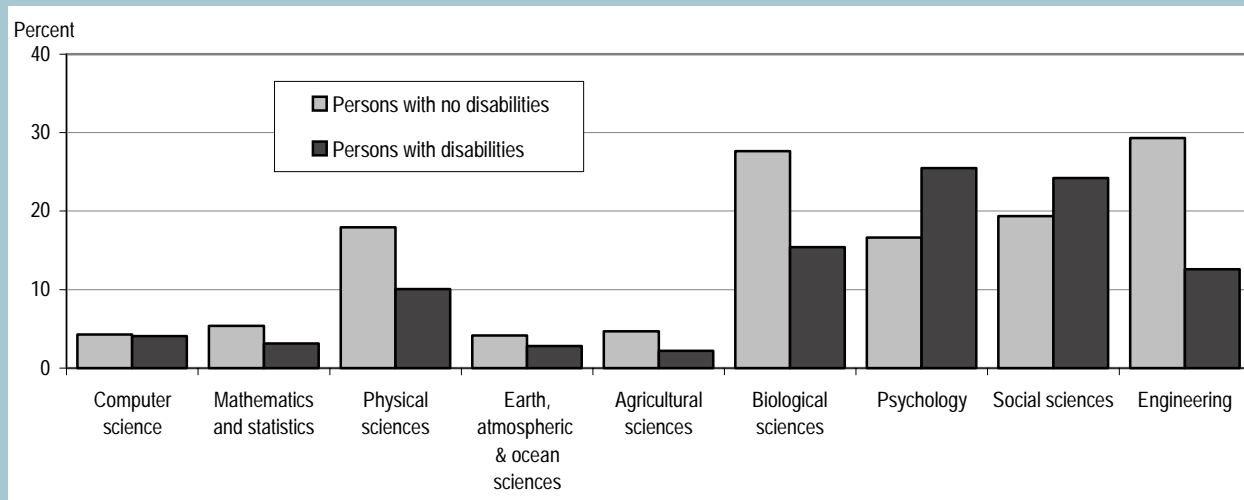
SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Minorities

Black and American Indian U.S. citizen and permanent resident science and engineering doctorate recipients in 1997 were less likely than members of other racial/ethnic groups to have definite plans for postdoctoral study. Among those with plans for employment, a higher percentage of blacks and American Indians than of whites and Asians had definite plans for academic employment, while a lower percentage had definite plans for industrial employment. (See appendix table 4-20.) These patterns are related to differences in degree field—those with degrees in the social sciences and psychology are less likely than those whose degrees were in other fields to take postdoctoral appointments and are more likely to choose academic employment.

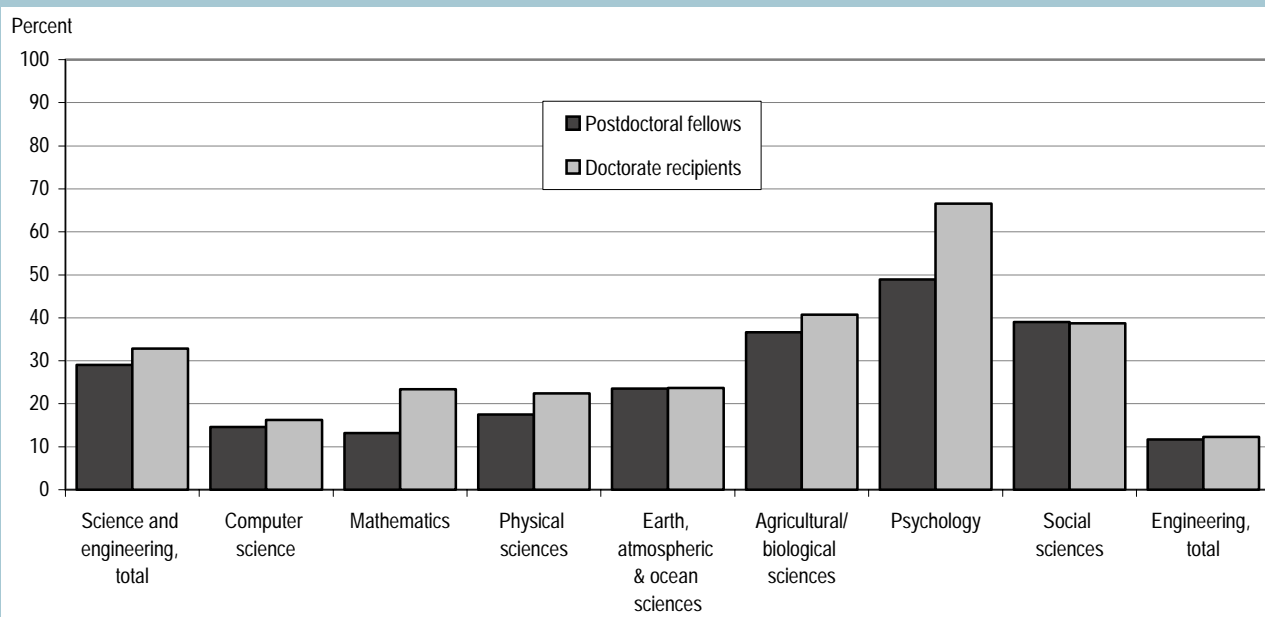
Figure 4-8.
Percentage distribution of doctoral degrees in science and engineering, by disability status and field: 1997



SOURCE: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Figure 4-9.
Women as a percentage of science and engineering postdoctoral fellows and of doctorate recipients, by field: 1997



SOURCES: National Science Foundation/Division of Science Resources Studies, Survey of Earned Doctorates and Survey of Graduate Students and Postdoctorates in Science and Engineering.

Women, Minorities, and Persons With Disabilities in Science and Engineering: 2000

Persons with disabilities

Persons with disabilities were less likely than those with no disabilities among the 1997 cohort of U.S. citizen and permanent resident science and engineering doctoral recipients to have plans for postdoctoral study (28 percent versus 36 percent) and for industrial employment (18 percent versus 21 percent). (See appendix table 4-21.) Persons with disabilities were more likely than those without to have plans for academic employment (23 percent versus 19 percent) and employment in “other” sectors (22 percent versus 16 percent). These patterns are, again, related to differences in degree field (as was the case for women and minorities). Higher percentages of doctorate recipients with disabilities than without disabilities earned their doctorates in psychology and the social sciences, fields

in which fewer recipients pursue postdoctoral study; lower percentages earned their doctorates in the physical and biological sciences, which are fields in which postdoctoral study is prevalent.

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